

SAURIN PATEL

RICHARD IVEY SCHOOL OF BUSINESS • THE UNIVERSITY OF WESTERN ONTARIO
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ACADEMIC APPOINTMENTS

2013 – PRESENT ASSISTANT PROFESSOR OF FINANCE, *Richard Ivey School of Business, University of Western Ontario, London, Canada*

RESEARCH AND TEACHING INTERESTS

Research: Empirical Asset Pricing, Mutual & Hedge Funds, Organizational Structure, Behavioral Finance

Teaching: Investments, Capital Markets and Financial Institutions, Derivatives, Risk Management, Corporate Finance

EDUCATION

2007 – 2013 **Ph.D. (FINANCE)**, *McGill University, Montreal, Canada*
Minor: Mathematics and Statistics

2004 – 2007 **M.A. (ECONOMICS)**, *Concordia University, Montreal, Canada*
Specialization: Financial Economics and Econometrics

2002 – 2004 **M.A. (ECONOMICS)**, *M.S. University, Baroda, India*
Gold Medalist with Distinction Honors
Specialization: Econometrics

1999 – 2002 **B.COM**, *M.S. University, Baroda, India*
Passed with First Class Honors

RESEARCH PAPERS

- *“To Group or Not To Group? Evidence from Mutual Funds”* with Sergei Sarkissian
- *“Deception and Managerial Structure: A Joint Study of Portfolio Pumping and Window Dressing Practices”* with Sergei Sarkissian
- *“Economic Optimism, Information Uncertainty and Future Investment Decisions: Evidence from the Mutual Fund Industry”*

HONORS, AWARDS & FELLOWSHIPS

- National Bank Financial Group PhD Fellowship, 2011-2012.
- Walter John Stenason PhD Fellowship, 2010-2011.
- American Finance Association (AFA) Travel Grant, Denver Meeting, 2011.
- GREAT Travel Award, McGill University, 2011.

- Excellence in Teaching Award, McGill University, 2010.
- FQRSC Doctoral Program Fellowship, 2008-2011.
- Principal's Graduate Fellowship, McGill University, 2008-2009.
- Doctoral Program Entrance Fellowship, McGill University, 2007-2008.
- CIRANO Graduate Research Fellowship, 2007.
- *Gold Medal* for Top Graduate Student, Faculty of Arts, M.S. University, 2005.
- *Gold Medal* for Academic Excellence, Department of Economics, M.S. University, 2005.

CONFERENCE AND SEMINAR PRESENTATIONS

(* = PRESENTED BY CO-AUTHOR)

2013: Cambridge University; University of Western Ontario; Queens University; European Finance Association (EFA), Cambridge; Recent Advances in Mutual Funds Research, Humboldt University*; Asian Bureau of Finance and Economic Research (ABFER), Singapore*

2012: Fordham University; York University; 1st Luxembourg Asset Management Summit, Luxembourg*; University of New Haven; University of Wisconsin-White water; ZEW Conference on "*The Role of Expectations in Financial Markets*", Germany; University of Lethbridge; FMA Doctoral Consortium, Atlanta; FMA European Doctoral Consortium, Istanbul; Northern Finance Association (NFA), Niagara Falls (Discussant)

2011: Northern Finance Association (NFA), Vancouver; Desautels-HEC-Rotman Winter Finance Workshop, Mont Tremblant; University of Quebec at Montreal (UQAM)

RESEARCH EXPERIENCE

Research Assistantship, McGill University:

- Prof. Sergei Sarkissian (2010-Present)
- Prof. Susan Christoffersen (2009-2011)
- Prof. Peter Christoffersen and Prof. Kris Jacobs (2008)

Research Professional, Centre for Interuniversity Research and Analysis on Organizations (CIRANO), Montreal, Quebec, 2006-2007

- Researched, implemented and validated Copula-based Models in Quantitative Risk Management for banking clients.

MEDIA CITATIONS

"To Group or Not to Group? Evidence from Mutual Funds"

- "**The Big Exit**", *Financial Advisor Magazine*, September 2012

TEACHING EXPERIENCE

Faculty Lecturer:

"*Investment Management*": (Undergraduate), McGill University – Teaching Evaluations – 4.3/5 (Student Response Rate - 95%), 2010.

- *Won the Excellence in Teaching Award, McGill University, 2010.*

Teaching Assistant:

Graduate – Financial Economics, 2007

Undergraduate – International Finance (2010), Advanced Business Statistics (2008, 2009, 2010, 2012), Investment Management (2009), Financial Derivatives (2008), Managerial Economics (2007)

PROFESSIONAL SERVICE

- **Ad-hoc referee:** *Journal of Financial Services Research*
- **Vice President (Academic & Finance)**, Desautels Doctoral Student Society, McGill University, 2010- 2011.

PROFESSIONAL ACTIVITIES

- **Chartered Financial Analyst (CFA)** Level II Candidate
- **Member:** American Finance Association (AFA), Western Finance Association (WFA), Financial Management Association (FMA), European Finance Association (EFA)

OTHER INFORMATION

- **Languages:** English (fluent), Gujarati (native).
- **Immigration Status:** Canadian Citizen.

REFERENCES

Sergei Sarkissian

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